SI-DRIVE: General Assembly (2)
Status of the Project

Advisory Board Meeting
Calouste Gulbenkian Foundation, Lisbon
14th of November 2014

Antonius Schröder (TUDO)

This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 612870.

Status of the Project

- First theory round is finished
- Design, structure and instruments for global mapping (mapping 1) are developed
- Screening of external SI Databases done
- Global mapping is starting
- First policy framework is developed
- Continuous dissemination right from the beginning
  Homepage, eNewsletter started
- Management instruments are developed, to be improved

➢ Beneath the Kick-off General Assembly Meeting:
  - Several meetings within the work packages theory and methodology
  - Common meetings with other European projects: TEPSIE, SIMPACT, TRANSIT, CASI
  - Steering Committee Meetings

➢ About 40 Emails a day: up to now about 10.000 emails!!!
so please: apologise for delays (and sometimes missing something, please remind)

Dissemination so far

- **Corporate Design** subcontracted (Website, leaflets, logo, roll-ups, fonts, presentation and reporting templates, online survey, folders)
- **SI-DRIVE Website** online in 01/2014, including monthly spotlights (interviews)
- **Project leaflets** designed, first batch printed
- **Two Newsletters** issued so far (07/2014, 09/2014)
- A Special Issue of **Information Systems Management** on Social Innovation Published (Vol. 31, Issue 3)

- SI-DRIVE slides, report template, flyer, logo, newsletters etc. can be found on the cloud
- Please document your dissemination activities in the dissemination list (also on the cloud)

Dissemination so far

- **Project presentations** at numerous conferences, workshops and other events

SOCIAL INNOVATION LIVE 2014

Colloque international du CRISES 4e édition
La transformation sociale par l'innovation sociale
3 et 4 avril 2014

BAM British Academy of Management

IMISCOE

Wellbeing at Work 2014

ILPN 2014

SOCIAL INNOVATION CANADA 2014

european social innovation week

23rd EBN CONGRESS

ACHIEVING IMPACT
Socio-economic Sciences & Humanities (SSH) in Horizon 2020
INTERNATIONAL CONFERENCE & BROKERAGE EVENT

Status of Work Packages / Deliverables

WP 1: Theory

- Critical Literature Review: Theoretical framework for further empirical work
  Deliverable 1.1

WP 2/3: Methodology / Global Mapping

- Design, structure and instruments for global mapping (mapping 1):
  Field work design, policy field and regional report structure, database screening
- Database Screening Report and Manual
  Deliverable 3.1
- Methodology Review: Research Propositions and Social Innovation Typology, Data collection and Analysis Framework (in preparation)
  Deliverable 2.1

WP 4-10: Policy Fields

- Set up for global mapping (mapping 1)

WP 11: Policy and Foresight

- Policy framework for social innovation
  Deliverable 11.1

Status of the Workpackages / Deliverables

WP 12: Dissemination

- Presentations on international, European and national conferences
- SI online / website
  Deliverable 12.1
- eNewsletter (No. 1 and 2)
  Deliverable 12.2

WP 13: Management

- Risk monitoring and evaluation plan
  Deliverable 13.1
- Project progress monitoring template
  Deliverable 13.2
- Cooperation with other SI projects
  Deliverable 13.3

Amendment Request (in progress)

- Changes of partners: ANSPE – SOMOSMAS (Nicolás Martín Jiménez)
  ANSPE now Advisory Board Member
- Additional partner: Ryerson University, Toronto, Canada (Wendy Cukier)
- Change of key personnel
- Reduction of deliverables
- Change of partners´ legal status, contact data
- …

SI-DRIVE General Assembly (2)

Social Innovation: Driving Force of Social Change (SI-DRIVE) Methodology

Advisory Board Meeting
Calouste Gulbenkian Foundation, Lisbon
14th of November 2014

Antonius Schröder (TUDO) / Anette Scoppetta (ZSI) / Peter Oeij (TNO) / Victoria Boelman (YF) / Vishanth Weerakkody (UBRUN)

This project has received funding from the European Union's Seventh Framework Programme for research, technological development and demonstration under grant agreement no 612870.

SI-DRIVE Methodology:
Based on the Theoretical Framework

Social Innovation – Comprehensive Working Definition

Social innovation is seen as:

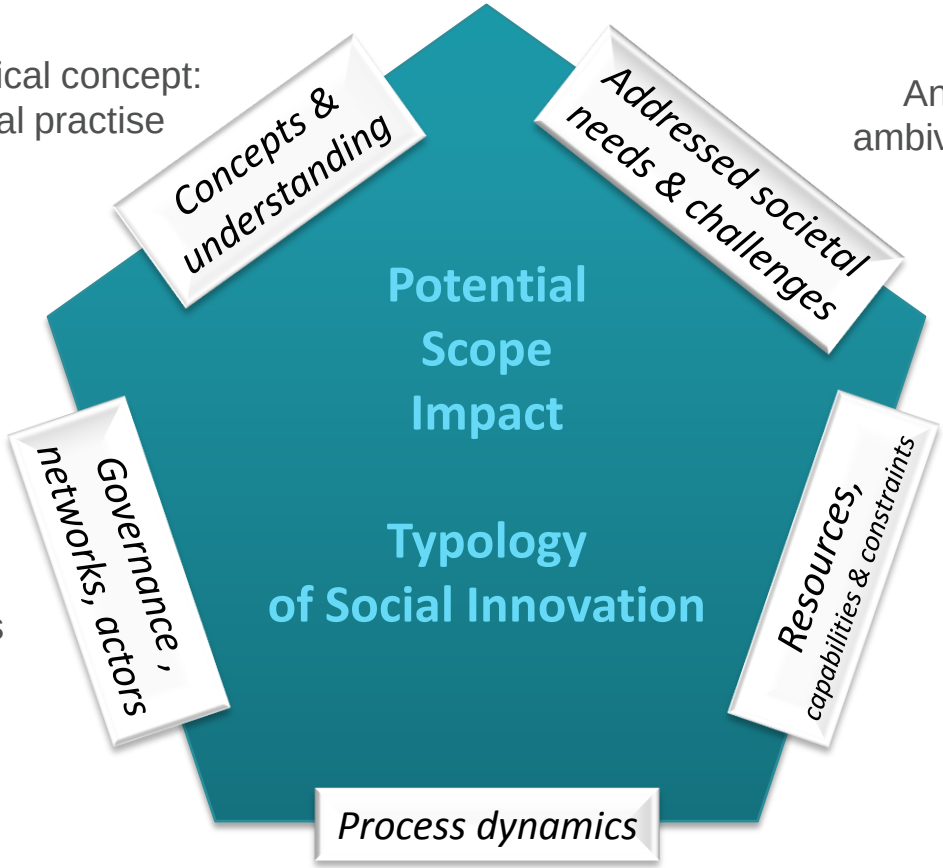
- a new combination or figuration of practices in areas of social action;
- prompted by certain actors or constellations of actors;
- with the goal of better coping with needs and problems than is possible by use of existing practices.

- An innovation is therefore social to the extent that it varies social action, and is socially accepted and diffused in society.
- Depending on circumstances of social change, interests, policies and power, social ideas as well as successfully implemented SI may be transformed and ultimately institutionalised as regular social practice or made routine.

The Five Key Dimensions of Social Innovation

Analytical concept:
social practise

Analyse the
ambivalence of SI



Functions, roles
and new concepts

Capacity building,
empowerment & conflict

Mechanisms of Diffusion:
Imitation, social learning; relationship to social change

Additional Research Dimensions

Policy Fields:
(1) education, (2) employment, (3) environment and climate change, (4) energy, (5) transport and mobility, (6) health and social care, (7) poverty reduction and sustainable development, (8) other

Cross-cutting themes:
(1) Information and communication technologies (ICT) and social media; (2) social entrepreneurship and social economy, social enterprises; (3) gender, equality and diversity; (4) demographic change; (5) migration; (6) empowerment; (7) human resources, knowledge; (8) governance and (9) other

Sectors of society:
public, private business, and civil society

Regions (Cultural Background):
Europe (North, West, East, South – Member States / Non-member States)
Other world regions: Russia, North and South America, Australia / New Zealand, Western and South-East Asia, South and North Africa

Field Work Design

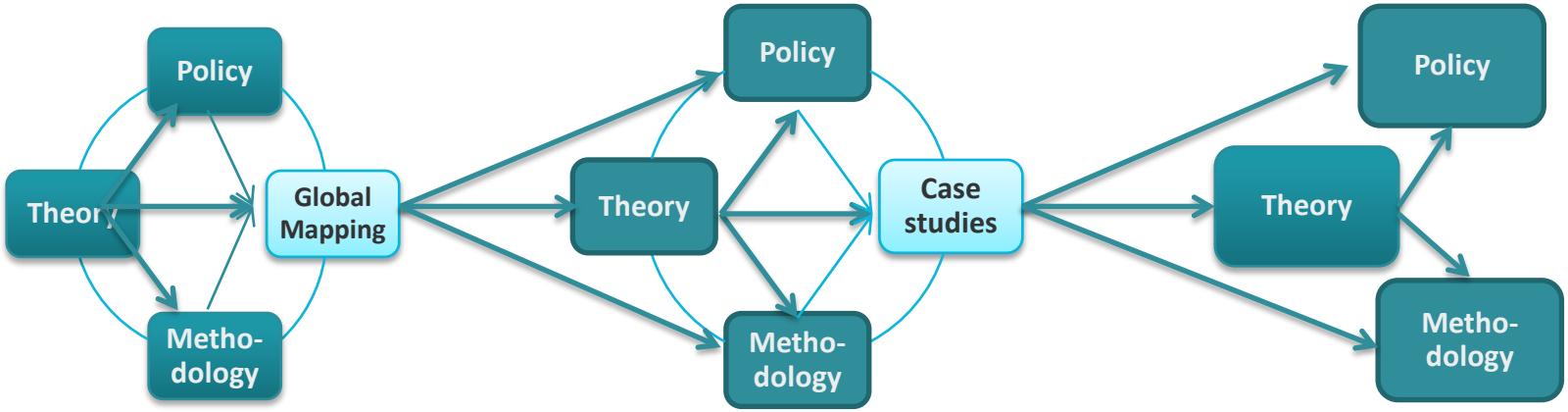
Two main empirical phases

Mapping 1 - baseline mapping of social innovation (state of the art reports, report of regional strategies, selection of 1.000 and more cases for a SI database)

Step in between:
Selection of 300 most important cases based on five key dimensions of SI and associated KPIs as a basis for the selection of the 70 in-depth cases.

Mapping 2 (case studies) - typology of social innovation (using mixed method research protocol consisting of detailed interviews, extensive status check, follow up surveys, and Qualitative Comparative Analysis *QCA,* 70 cases.

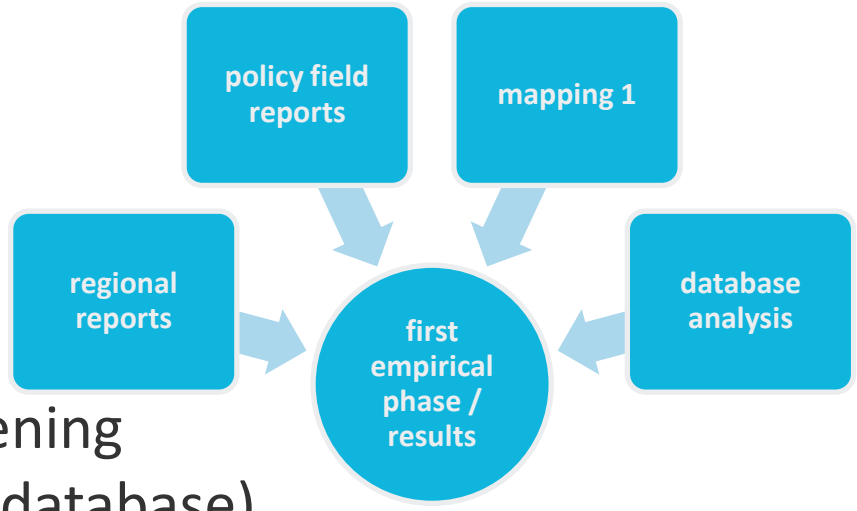
Iterative Process



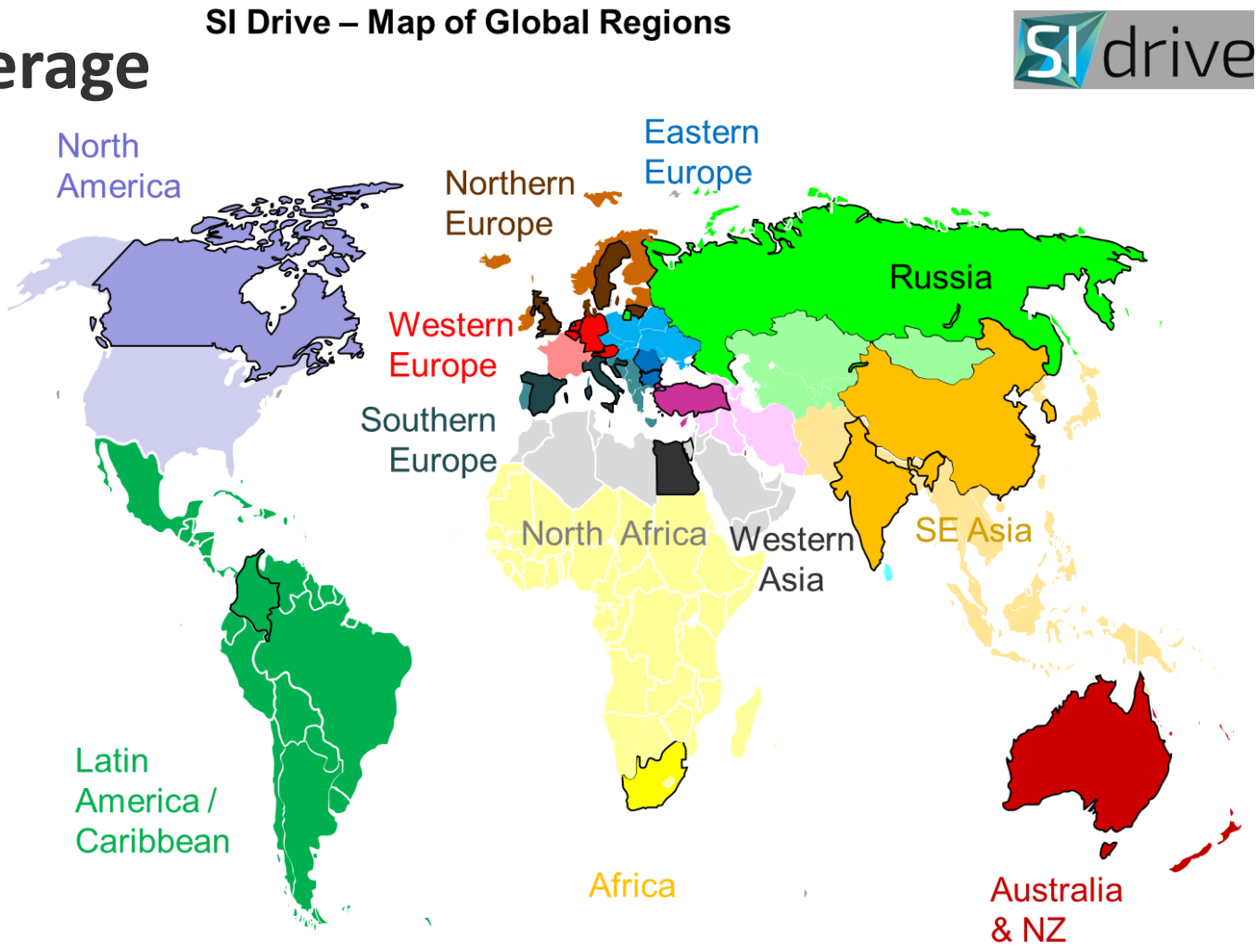
Baseline Mapping Activities

The first empirical phase (baseline mapping) is consisting of four elements:

1. Regional reports
2. Policy field reports
3. Data collection for Mapping 1 (Database of 1.000+ SI cases)
4. Social Innovation Database Screening (compatible with the mapping 1 database)



Regional Coverage



Regional Responsibilities (Europe)

European Countries	Northern Europe	Western Europe	Southern Europe	Eastern Europe
Main responsible partner	IKED (Sweden)	TNO (Netherlands)	UDEUSTO (Spain) SIL (Croatia) Balkan Countries	ARCF (Bulgaria) Or UDG (Romania)
Countries represented by partners (P) / Advisory Board Members (AB)	Denmark: IKED (P), DTI (AB) Finland: IKED (P) Iceland, IKED (P) Norway: IKED (P) Sweden: IKED (P) Baltic States: KSU (P) Ireland: UBRUN (P), EVPA (AB) UK: YF (P), UBRUN (P)	Austria: ZSI (P), AIT (P) Belgium: TNO (P), FEANTSA (P) France: IFRESI-CNRS, Lille University (AB) Germany: TUDO (P), IAT (P) Luxembourg: TNO (P) Netherlands: TNO (P), Frank Pott (AB) Switzerland: ZSI (P) ?	Balkan Countries: SIL (P) • Albania • Bosnia and Herzegovina • Croatia • Macedonia • Montenegro • Serbia • Slovenia Italy: LAMA (P) Greece, Malta, Cyprus: ?? Portugal: UDEUSTO (P) Spain: UDEUSTO (P)	Bulgaria: ARCF (P) Czech Republic: Center for Economic Studies (AB) Hungary, Poland, Slovakia: ?? Republic of Moldova: UDG (P) Romania: UDG (P) Ukraine: UDG (P)

Regional Responsibilities (Russia, America, Oceania)

Non-European Countries (I)	Russia / CIS	North America	Latin America and the Caribbean	Australia, New Zealand
Main responsible partner Countries represented by	ISEDT RAS (Russia)	CRISES (Canada)	ECLAC	ACIIC (Australia)
partners (P) / Advisory Board Members (AB)	Russia / Commonwealth of Independent States CIS: ISEDT RAS (P)	Canada: CRISES (P), Ryerson University (P), University of Waterloo (AB), USA: TIDES (AB),	Colombia: SOMOSMAS (P), ANSPE (AB) Latin America and the Caribbean: ECLAC (P)	Australia: ACIIC (P) New Zealand: SIERC (AB)

Regional Responsibilities (Africa, Asia)

Non-European Countries (II)	South Africa	North Africa (Mediterranean Area)	Western Asia (Middle / Near East)	South-Eastern Asia
Main responsible partner	UCT (South Africa)	HU (Egypt) IKED (Sweden)	ITU (Turkey)	ZJU (China): South Asia TISS (India): Eastern Asia
Countries represented by partners (P) / Advisory Board Members (AB)	South Africa: UCT (P)	Egypt: HU (P) Covering Middle East and North-Africa (MENA): North Africa: Algeria, Morocco, Tunisia, Lybia	Turkey: ITU (P) Syria, Iraq, Iran, Jordan, Gulf Cooperation Council (GCC) countries: IKED (P) and HU (P): Oman, Qatar, Saudia Arabia, Kuwait, Bahrain, plus Yemen	China: ZJU (P) South Korea: Hope Institute (AB) India: TISS (P) Singapore: Lien Centre for Social Innovation (AB) Thailand: UN-ESCAP (AB); United Nations Economic and Social Commission for Asia and the Pacific

Data Matrix: Policy Areas / Global Regions
(including cross-cutting themes)

Global Areas / Policy Areas	Europe				Non-European Countries								Number of cases in total
	Northern Europe	Western Europe	South Europe	Eastern Europe	Russia	North America	South America	Australia, New Zealand	Asia	South-Africa	Middle East	Near East	
Education	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Employment	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Environment and Climate Change	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Energy Supply	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Mobility/ Transport	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Health and Social Care	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Poverty Reduction	10-20	10-20	10-20	10-20	10-15	10-15	10-15	10-15	10-15	10-15	10-15	10-15	**About 150**
Other Policy Fields	??	??	??	??	??	??	??	??	??	??	??	??	**??**
Number of cases In total	**About 120**	**About 120**	**About 120**	**About 120**	**About 90**	**About 90**	**About 90**	**About 90**	**About 90**	**About 90**	**About 90**	**About 90**	**Min. 1.000**

Cross-cutting Themes

- **Figures are just an orientation: The number of cases realised are depending on the SI areas, strategies and diffusion in the policy fields and world regions.**

Main Challenges: What is a Case?

- A case is what the **experts** in the region, the policy fields (project partners, advisory board members) think is a relevant Social Innovation case / practice.
- A case is defined by, has to fit to the **working definition** of SI-DRIVE (mainly: novelty of the social practice, better and new practice and first diffusion in society).
- A case has to be described by the **five key elements** of SI-DRIVE: SI should be described by all the five key dimensions (if not, it is not useful, because of the underlying theoretical framework proposed in SI drive and the qualitative and quantitative analysis proposed).

The selection and collection of the SI cases in the first empirical phase will be done through a survey (consisting of **open ended and structured** questions)

- The survey Template will be electronic with drop down items, pop up and help features for each of the standardised and open questions

Global Mapping Survey

Projects and Practice Fields

- In SI-DRIVE case is a **project/initiative** and - if existing - its corresponding **practice field**:

  Project: A project is single and concrete implementation of a solution to respond to social demands, societal challenges or systemic change (e.g. Muhammed Yunus's Grameen Bank which lends micro-credits to poor farmers for improving their economic condition)

  Practice field: A practice field is a general type of project type or a "summary" of projects. A "practice field" expresses general characteristics common to different projects (e.g.micro-credit systems)

- This differentiation enables for getting a deeper insight into **upcoming trends and "booming" areas** for solving social demands and societal challenges.

Template design

The template is structured in the following 10 subdivisions:

1. Basic project information
2. Practice field information
3. Project partners
4. Addressed social demands and societal challenges
5. Innovative solution
6. Process dynamics
7. Outcomes
8. Governance
9. Organisational capacity and resources
10. Drivers, Barriers & Strategies

Basic project information

Definition of "project": A project/initiative is a single and concrete implementation of a solution to respond to social demands, societal challenges or systemic change.

Please provide us with the name of the project.

Please fill in at least one answer

Name in English language

Name in original language

Acronym of project

*

Please describe the project in 3 sentences (max. 150 characters).

Practice field

Explanation/Definition of "practice field": A practice field emerges when similar projects become generalised, diffused and stabilised.

Generalisation:

- ***There is a general/common term for the practice field.***
- ***There is a general/common concept describing how the practice works.***

Diffusion:

- ***There are several independent actors and projects in different geographic areas, which refer to the common term and apply the common concept.***

Stabilisation:

- ***There are organisations and/or networks, which promote, support or transfer the practice (networks, platforms, umbrella organisations, scientific institutions, public bodies, etc.).***

Examples of practice fields: Micro-finance systems, urban gardening, participative budgeting, carsharing, regional currencies, crowdfunding, community supported agriculture, energy cooperatives, etc.

*

Does the project belong to an existing practice field?
Choose one of the following answers

Please choose...

This drop-down menu contains all practice fields, which have been entered in the SI DRIVE database so far. Please identify the practice field the project belongs to.

The Online Survey

- The **social innovation cases** and referring data will be gathered via a survey that is set up as LimeSurvey: http://survey20.zsi.at/index.php/survey/index/sid/829421/newtest/Y/lang/en

- Within mapping 1 we aim to gather a **plurality of social innovation cases**. Thus, the selection and collection of the SI cases in the first empirical phase will be open (to ensure plurality), yet structured to enable comparisons.

- In addition to the completion by partners, interested persons/institutions are invited to fill a **brief template on the website of SI-DRIVE**. An open entry field will be made available via the SI-DRIVE webpage in order to enable interested persons to inform on cases they would like to see added to the database.

The Analysing Options

The data gathered in the SI-DRIVE database can be analysed, for instance, according to:

- the different **information sources**, I.e. 'information providers' such as social innovators (practitioners), the public, funding institutions, etc.;
- the **geographical scope** of the practice fields, the projects and the project partners of the cases;
- the **addressed social demands and societal challenges** in the different world regions;
- the **innovative solutions** provided by social innovation cases;
- the **process dynamics** that occur by implementing social innovations in the different world regions;
- the **outcomes of the social innovations** and their contributions to change;
- the **governance structures** implemented;
- the **drivers, barriers and strategies**; and
- the **organisational capacity and resources** required in implementing social innovations

(each of the items can be analysed within the different policy fields).

Additional Data Gathering Options

- In advance of setting up the SI-DRIVE database, a **screening of available databases, platforms and awards on social innovation** was undertaken. The exercise of screening aimed at the identification, selection and initial analysis of well-documented socially innovative projects/initiatives in Europe and the world.

- In total, **54 databases, platforms** and **awards** were finally selected out of which four were top-ranked. These data sources are regarded as being of great value for our further SI-DRIVE research. Out of the 54 databases, 28 have a worldwide scope. The list of the SI-databases can be viewed in Deliverable 3.1.

- The **four top-ranked data sources** are: (Based on volume of case, richness of the cases documented, openness?)

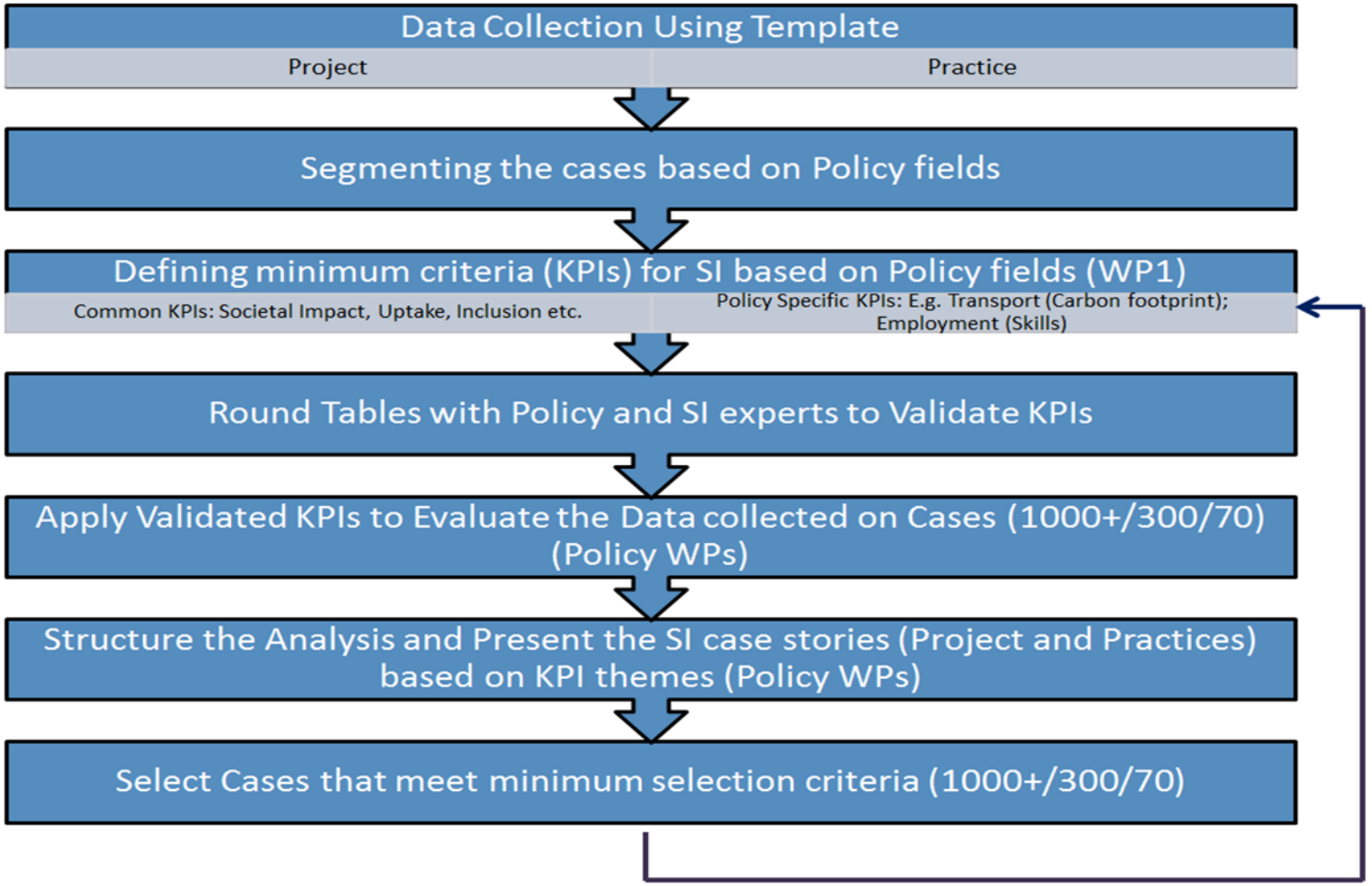
  - *'The Schwab Foundation' database*: http://www.schwabfound.org/entrepreneurs
  - *eReader WILCO*: http://www.wilcoproject.eu/ereader-wilco/
  - *Ashoka Fellow database:* http://fellows.ashoka.org/
  - *Ashoka changemaker platforms (2014, 2011-13)*: http://www.changemakers.com/opportunities

Global Mapping Analysis

Mapping and Data Analysis Approach

- Defining and Validating Key Performance Indicators for Selecting Cases Based on Policy Fields
- Application of Qualitative Comparative Analysis (QCA) for defining the Drivers of Social Innovation

CASE FILTERING PROCESS



Policy Field Report(s)

Education
Employment
Mobility
Energy
Environment
Health
Poverty

Objectives of the report(s)

→ One report for each policy field!

Objective 1:
To elaborate how the policy field's governance system influences social innovation practice fields and projects and, vice versa, how it is influenced/changed by them. Thereby, drivers and barriers, conflicts, and roles of different actors should be worked out in detail.

} Descriptive aim

Objective 2:
To assess the relation between the policy field's governance system and social innovation practice fields/projects on the EU, national and global level.

} Analytical aim

Reference to SI-Drive key dimensions: Governance, networks, actors; Process Dynamics; Resources

Central Research Question

What kind of social innovation practices can be found in the policy field? To which social needs (or challenges) are they responding? Which concepts of social innovation are present?

Reference to SI-Drive key dimensions: Concepts; societal needs and challenges

Three Building Blocks (European, National, Global) [per policy field]

European
-European governance system and role social innovation play
-Practice fields of social innovation on European level

National
-National Governance structure
-National Challenges, practice fields and projects
-Differences across the countries/ European regions
-Lessons relation between the context of social innovation and the nature of social innovation (drivers, barriers, scaling, stakeholders, bottom-up SI, policy-driven SI)

Global
-Global issues of the policy field and global differences Global North and the Global South
-Approaches to respond to global challenges (e.g. of the UN, World Bank, etc.)
-Which practice fields play at global level

Conclusions
-Comparison across Policy Fields
-Link to SI-Drive key dimensions
-Relevance for further development of theory, methodology, mapping and foresight/policy within SI-Drive

Regional Reports

Europe – 1 combined report with 4 sub-regional chapters
Russia
North America
South America
Australia & New Zealand
South-East Asia
Western Asia
North Africa (Mediterranean Area)
South Africa

Objectives of the reports

→ One report for each region!

Overall aims:

- To summarise Social Innovation strategies within the global SI-DRIVE regions from a regional perspective
- To summarise and expand the empirical findings of the survey and the policy field reports from a regional perspective
- To summarise conceptualisations of Social Innovation in each region
- To identify current and emerging trends in Social Innovation
- To collate early evidence on the impact of social innovation

Building on policy field reports & mapping 1

Specific objectives:

In particular, to assess and review:

The social needs, challenges and opportunities driving social innovation
Social innovation strategies and processes
Governance, networks and actors in social innovation
Resources, capabilities and constraints
Relationship between technological and social innovation

SI Drive key dimensions & cross-cutting themes

SI-DRIVE General Assembly (2)

Activities of the first empirical phase

1
- Testing / feedback survey template, the structure of the regional and policy field reports
- all partners (until the next General Assembly) 28/11/2014

2
- Adjustment of the instruments
- WP2, 3 leader / co-leader, ZSI 06/12/2014

3
- Collection/selection of SI cases via survey template in the different regions, policy fields
- Policy Field leaders and partners (plus advisory board members), 11/2014-06/2015

4
- Policy Field Reports
- WP2 leader / co-leader 11/2014-02/2015

5
- Regional Reports
- WP 3 leader / regional delegates 11/2014-10/2015

6
- Analysis of the collected cases, programming of analysis routines
- WP2 leader / co-leader, ZSI 05/2015-07/2015

Further steps so far

7
- Theoretical and methodological framework for mapping 2 (Case study concept)
- WP1 and WP2 06/2015-12/2015

8
- Selection of 300 cases, asking the projects/practitioners for further required information,
- policy field leaders and partners 08/2015-10/2015

9
- selection of 70 cases
- policy field leaders and partners, , final decision Steering Committee 11/2015-12/2015

10
- mapping 2 / case studies
- policy field leaders and partners 01/2016-09/2016

Policy Field Working Groups (WP4-10)

Introduction

Round I (45 minutes)
- Review of the Global Mapping on the basis of inputs given during the plenary session, short summary, questions, working process
- Policy field report preparation: Introduction / discussion of next steps
- Regional coverage: Check of regions not covered by the partners

Participants switch groups (5 minutes; for partners involved in more than one WP; WP chairs do not switch groups)

Round II (30 minutes)
- Again: Review of the Global Mapping on the basis of inputs given during the plenary session, short summary, questions, working process (building on Round 1 discussion, **shorter!**)
- Policy field report preparation: Introduction / discussion of next steps
- Regional coverage: Final check of regions not covered by the partners

Preparing Summaries (of WP/Policy Field Discussions) (30 Minutes)

Plenary Wrap-up (30 Minutes)
- Short inputs (max. 3 minutes) from WP leaders on main results and remaining questions

SI-DRIVE: General Assembly (2)
Next Steps

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14th of November 2014

Antonius Schröder (TUDO)

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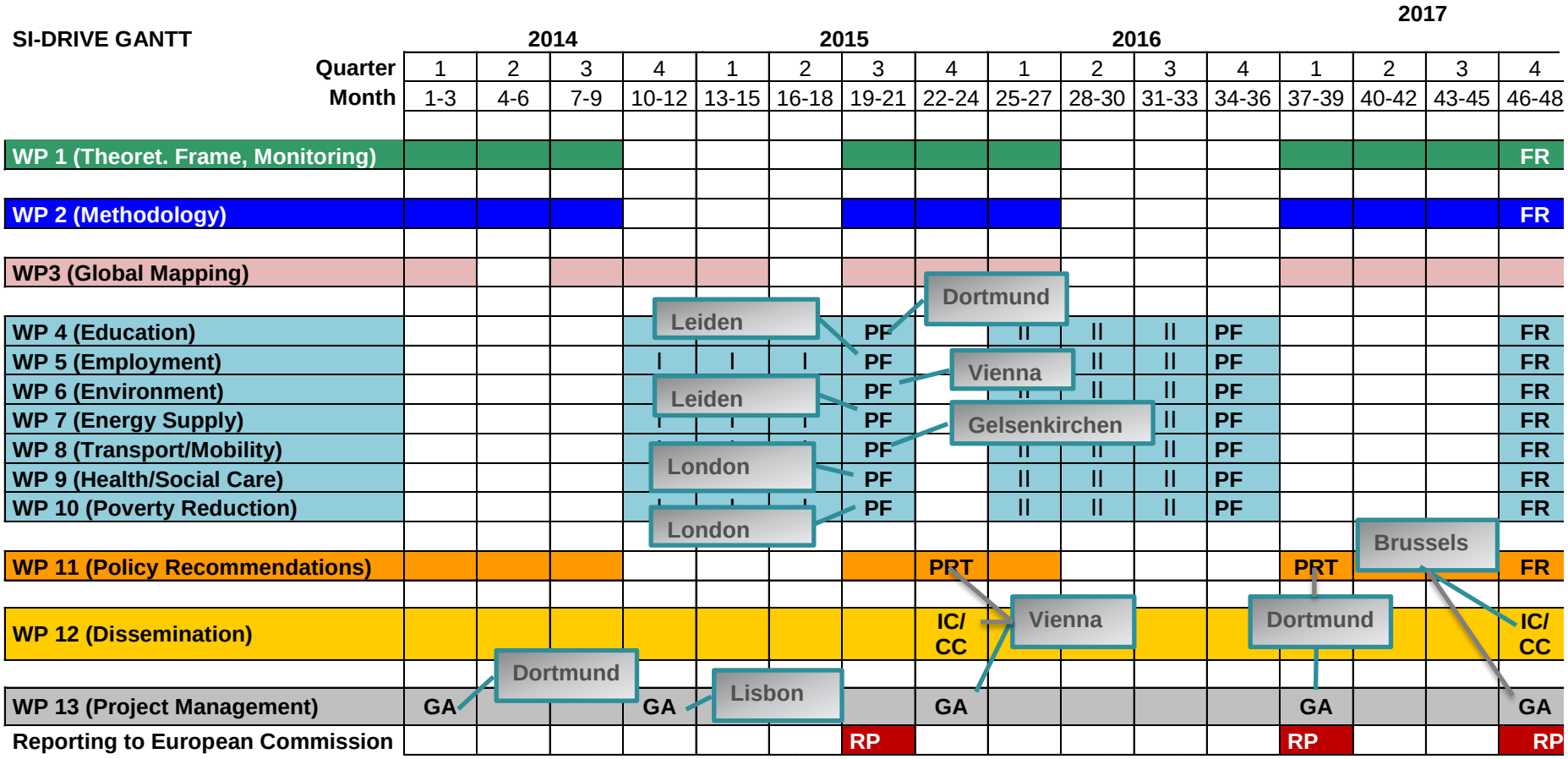
Next Steps

SI-DRIVE General Assembly (2)

Time Schedule empirical phases I (global mapping) and II (in-depth case studies)



Next Steps

- Establishment of the Stakeholder Group
- Finishing mapping 1 (global mapping)
  - Database 1.000+ SI cases
  - 7 Policy Reports
  - Regional Report
- Stocktaking of the results for
  - 2nd theory round
  - 2nd methodology development
  - Policy and foresight development
- Policy field forums and foresight workshops (in the seven policy fields)
- Policy round table (overarching round table)
- International Conference (together with SIMPACT, TRANSIT)
  - Follow up of
    - Challenge Social Innovation CSI (Vienna 2011)
    - Social Frontiers (London 2013)
  - 18th to 20th of November, Vienna
  - Including General Assembly (2) and Advisory Board Meeting (2)

Not to forget:

- Amendment Request
- First **financial / progress report** (June 2015)

Thank you very much.

www.si-drive.eu